

PATENT  
Attorney Docket No.: 81940.0075  
Customer No.: 26021

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Naohisa KASAKO, et al.

Serial No.: 10/820,629

Filed: April 8, 2004

For: REMOTE STORAGE DISK CONTROL  
DEVICE WITH FUNCTION TO TRANSFER  
COMMANDS TO REMOTE STORAGE  
DEVICES

Art Unit: 2186

Examiner: To Be Assigned

Confirmation No. 8503

**TRANSMITTAL OF  
INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

I hereby certify that this correspondence  
is being deposited with the United States  
Postal Service with sufficient postage  
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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450, on

April 27, 2005

Date of Deposit

Kimberly Yee

Name

Signature

04/27/05  
Date

Dear Sirs:

1. ☒ The information disclosure statement submitted herewith is being filed within three months of the filing date of the application other than a continued prosecution application, or within three months of the date of entry into the national stage of an international application, or before the mailing date of a first Office Action on the merits, or before the mailing of a first Office action after the filing of a request for continued examination under §1.114, whichever event occurs last. 37 C.F.R. §1.97(b).
2. ☐ The information disclosure statement transmitted herewith is being filed *after* the period specified in §1.97(b), but *before* the mailing date of a final action under §1.113, or a notice of allowance under §1.311, or an action that otherwise closes prosecution in the application, whichever occurs first. A statement specified in §1.97(e) or a fee set forth in §1.17(p) is included. 37 C.F.R. §1.97(c).

**§1.97(e) STATEMENT**

I, the person signing below, state:

- ☐ that each item of information contained in the information disclosure statement was first cited in the attached communication from a foreign patent office in a counterpart foreign application and that the communication is dated not more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(1).

OR

- ☐ that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(2).

OR FEE

- ☐ Attached is a fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under §1.97(c). (\$180.00). A copy of this petition is enclosed.

3. ☐ The information disclosure statement transmitted herewith is being filed *after* the period specified in §1.97(c), but before, or simultaneously with the payment of the issue fee. A statement specified in §1.97(e) and a fee set forth in §1.17(p) are included. 37 C.F.R. §1.97(d).

**§1.97(e) STATEMENT**

I, the person signing below, state:

- ☐ that each item of information contained in the information disclosure statement was first cited in the attached communication from a foreign patent office in a counterpart foreign application and that the communication is dated not more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(1).

OR

- ☐ that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(2).

AND FEE

- ☐ Attached is a fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under §1.97(d). (\$180.00).


4. ☒ If it should be determined that for any reason either an insufficient fee or an excessive fee has been paid, please charge any insufficiency or credit any overpayment necessary to ensure consideration of the information disclosure statement for the above-identified application to Deposit Account No. 50-1314. **A copy of this petition is enclosed.**
5. ☒ A list of 11 references is in the enclosed Form PTO-1449. Pursuant to 37 C.F.R. § 1.98(a) (2) (i), copies of the listed U.S. references are not provided herewith since the requirement has been waived for U.S. patent applications filed after June 30, 2003.

**NON-ENGLISH LANGUAGE REFERENCES**

- ☐ Enclosed is a search report for a counterpart application. The search report Examiner has provided comments on the relevancy of any non-English language references cited in the search report.
- ☐ The specification incorporates comments on the relevancy of Non-English language references.
- ☐ Set forth below are comments provided by the applicant's home country counsel on the relevancy of non-English language references: N/A

Respectfully submitted,

HOGAN & HARTSON L.L.P.

By: 

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Attorneys for Applicants

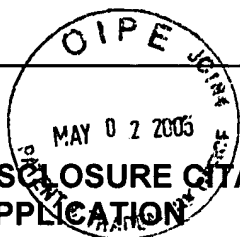
Date: April 27, 2005

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FORM PTO-1449

**INFORMATION DISCLOSURE CITATION  
IN AN APPLICATION**

(Use several sheets if necessary)



**Docket Number (Optional)**  
81940.0075

**Application Number**  
10/820,629

**Applicant**  
Naohisa Kasako, et al.

**Filing Date**  
April 8, 2004

**Group Art Unit**  
2186

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,664,096	09/02/1997	Ichinomiya et al.			
	6,247,099	06/12/2001	Skazinski et al.			
	6,253,295	06/26/2001	Beal et al.			
	2003/0185064	10/02/2003	Hirakawa et al.			
	2003/0221077	11/27/2003	Ohno et al.			
	2004/0078535	04/22/2004	Suzuki et al.			
	2004/0123026	06/24/2004	Kaneko			
	2004/0193795	09/30/2004	Takeda et al.			
	6,813,698	11/02/2004	Gallo et al.			
	2004/0267829	12/30/2004	Hirakawa et al.			
	2005/0033828	02/10/2005	Watanabe			

**FOREIGN PATENT DOCUMENTS**

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**


**EXAMINER**

**DATE CONSIDERED**

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.